# NL GOV Assurance profile for OAuth 2.0 1.2.0



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This document is an adaptation of the <u>'International Government Assurance Profile (iGov) for OAuth 2.0 - Draft 03' (hereinafter: the iGov-profile)</u> of the OpenID Foundation. This does not indicate an endorsement by the OpenID Foundation. In as far as the iGov-profile is incorporated in this document, the <u>OpenID Copyright License</u> applies.

# **Abstract**

The OAuth 2.0 protocol framework defines a mechanism to allow a resource owner to delegate access to a protected resource for a client application.

This specification profiles the OAuth 2.0 protocol framework to increase baseline security, provide greater interoperability, and structure deployments in a manner specifically applicable, but not limited to consumer-to-government deployments in the Netherlands.

# Status of This Document

This is a proposed recommendation approved by TO. Comments regarding this document may be sent to api@logius.nl

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# **Security Considerations**

#### A. References

#### Normative references **A.**1

Organization / Committee	Version number	Official status	Date
Forum Standaardisatie	-	reported	16-03- 2016
Working group	<u>1.0</u>	definitive approved version	15-07- 2019
Forum Standaardisatie	<u>1.0</u>	'comply of explain' standard (mandatory open standard)	09-07- 2020
KP-API Working group	1.1.0-rc.1	working version / final draft by 'Working Group'	13-05- 2024
OAuth-NL Working group	1.1.0-rc.2	proposed version - updated after public consultation	10-07- 2024
MIDO programmeringstafel	<u>1.1.0</u>	definitive approved version	03-12- 2024
Forum Standaardisatie	-	-	tbd

# Dutch government Assurance profile for OAuth 2.0

This profile is based upon the <u>International Government Assurance Profile (iGov) for OAuth 2.0</u> as published by the <u>OpenID Foundation</u>. It should be considered a fork of this profile as the iGov profile is geared more towards the American situation and in the Netherlands we have to deal with an European Union context.

We have added the chapter <u>Use cases</u> to illustrate the specific use case the iGov-NL profile is aimed at. Starting with chapter <u>Introduction</u> we follow the structure of the iGov profile. Where we do not use content from iGov we use <u>strikethrough</u> to indicate it is not part of iGov-NL.

## iGov-NL: Additional content

Content added for the iGov-NL profile is indicated like this.

<u>The Governance of this standard</u> is described by the API-Standaarden beheermodel in a <u>separate repository</u> and published by Logius (<u>api@logius.nl</u>).

# § Usecases

There are two use cases: The *client credentials* flow and the *authorization code flow*. In two sections below we will elaborate on these, first we will introduce some common concepts.

# § Introduction

For the Client credentials flow and Authorization code flow usecases to work properly the following application building blocks need to be in place:

- 1. the **Resource Server** (usually described as the API)
- 2. the Authorization Server
- 3. the **Client** (application)

#### § Resource Server

The service is provided by a public/governmental organization. Assumed is the Resource Server is known (by the Authorization Server) prior to actual authorization of the User. A Resource Server is assumed to possess a means for identification of the Resource Server and/or encrypted information, optionally by using a PKI certificate. Furthermore, a Resource Server is assumed to be provided over HTTP using TLS, other protocols are out of scope for this profile.

#### § Authorization Server

An Authorization Server is available, operated by either an independent trusted third-party or the service provider itself. Only a single Authorization Server is in use. The Authorization Server is trusted by the Resource Server. The Authorization Server can identify and authorize the User. In case the User has no direct relationship to the Authorization Server, it can forward the User to an IDP trusted by both the Authorization Server as well as the User. Alternatively, the Authorization Server can otherwise identify and authorize the User and is trusted by that User.

#### § Client

The User uses a client, which can be any arbitrary application decided upon by the User. Assumed is that the User trusts this client for interaction with the service. The authorization server has at least low trust in the client when the client is either public or semi-confidential. Assumptions is that the Client is aware of the specifications of the API and authorization is required. The Client is either using a user-agent, typically a browser, or the relevant parts are integrated into the Client application.

Note: Web-applications by default use the system-browser on a User's device as user-agent. Typically a native application ("mobile app") either starts a system browser as user-agent or uses an *in-app* browser. See RFC 8252 for more information on implementation of native applications. Clients can also be 'machine clients' types.

## § Use case: Client credentials flow

The client credentials flow can be used in usecases where there is an Client to Resource server connection where no user information is needed by the resource server. Two examples are:

- An application does a system API call. For instance a ping service to see if an API is
  available. The user does not need to be logged in for this and there is no relation to the identity
  of the end user.
- A batch application processes a large number of transactions asynchronously at at later scheduled time. The original access\_tokens of the preceding synchronous proces is no longer available. The flow for such a machine to machine interaction is shown in the figure below.

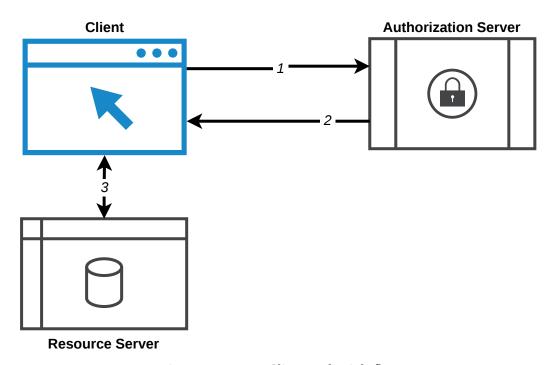


Figure 1 Use case Client credentials flow

# **Step 1. Client Authentication**

Using the client credentials, the client sends a Authentication Request to the Authorization Server's token Endpoint. It does so using the Client authentication as pre-registered. The Authorization Server receives and validates the Authentication Request.

# § Step 2. Access Token Response

The Authorization Server authenticates the client and if valid responds to the client with an Access Token Response. The Authorization server issues an Access Token, specific to the requested authorization. The client receives the Access Token and can use the Access Token to send requests to the Service API.

#### Step 3. Resource interaction

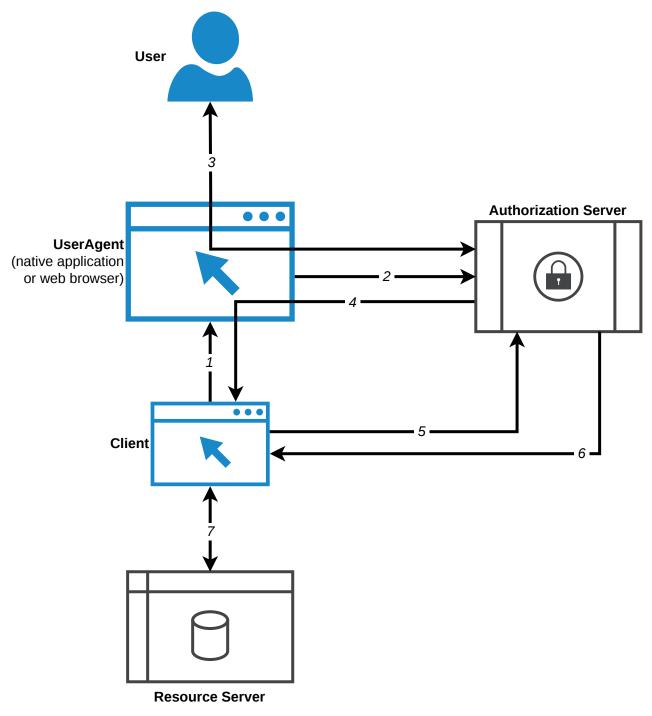
The Client can now send (a) request(s) to the Service, on behalf of itself. It does so by sending requests to the Resource Server, along with the Access Token. The Resource Server uses the Access Token for its access control decision. The Resource Server responds based on these decisions to the Client. The contents and protocol of the Resource Request and Resource Response are out of scope of this profile.

Direct access clients that are using the client credentials grant type and are not using OpenIDConnect are also allowed to use an X.509 certificate to authenticate with the authorization server's token endpoint. This flow is compatible with OAuth 2.0 due to section 2.3.2 of [*rfc6749*].

#### § Use case: Authorization code flow

In this use case a (public/governmental) service is offered via an API. The service will be consumed by the User using a client, that can be any arbitrary, non-trusted application. For provisioning the service, the service provider requires an identifier of the User. The identifier of the User can be either an arbitrary (self-registered) identifier or a formal identifier (citizen number or other restricted, registered ID). Upon service provisioning, the service uses the identifier of the User for access control within the service.

A Client wishes to send a request to an API, on behalf of the User. The API requires to have a trusted identification and *authorization* of the User, before providing the Service. A Client has preregistered with the Authorization Endpoint and has been assigned a client\_id.



*Figure 2* Use case Authorization code flow

The normal flow, that is without any error handling, is described below.

# **Step 1. Authorization initiation**

As the client does not yet have a (valid) access token for this Service, it's first step is to obtain one. Therefore it sends an Authorization Request to the Authorization Server's Authorization Endpoint. It does so by redirecting / initiating the user-agent with the Authorization Request to the Authorization Endpoint. The Authorization request holds further details, as specified in this profile.

## NOTE: Extra security consideration

When the Authorization Server supports <u>OAuth 2.0 Pushed Authorization Requests</u> (PAR), the client may first use PAR (or is required to use it, see require\_pushed\_authorization\_requests in <u>Authorization Server Metadata</u>). The client can initiate the flow by pushing a POST request with the parameters to the pushed\_authorization\_request\_endpoint. The Authorization Server responds to the client with a request\_uri containing a reference. The client will then use this request\_uri as the redirect.

# § Step 2. Authorization Request

The user-agent sends the Authorization request to the Authorization Endpoint. The Authorization Server receives and validates the request.

## **Step 3. User Authorization and consent**

The Authorization Server identifies the Resource Owner (often, but not necessarily, the User) and obtains authorization and consent from the Resource Owner for using the client to access the Service. The method and means for identification, as well as how to obtain authorization and consent from the Resource Owner for the request, are implementation specific and explicitly left out of scope of this profile. Note that if the User and Resource Owner are one and the same, the Autorization Server will have to authenticate the User in order to reliably identify the User as Resource Owner before obtaining the authorization and consent.

## **Step 4. Authorization Grant**

Note: applicable to the Authorization Code Flow only. The Authorization Server redirects the useragent back to the Client, with a Authorization Response. This Authorization Response holds an Authorization Grant and is send to the redirect\_uri endpoint from the Authorization request.

## **Step 5. Access Token Request**

The Client receives the Authorization Response from the user-agent. Using the Authorization Grant from the response, the client sends a Token Request to the Authorization Server's token Endpoint. It does so using the Client authentication as pre-registered. The Authorization Server receives and validates the Token Request.

## **Step 6. Access Token Response**

The Authorization Server responds to the client with an Access Token Response. This response contains an Access Token, specific to the requested authorization. The client receives and validates the Access Token and can use the Access Token to send requests to the Service API.

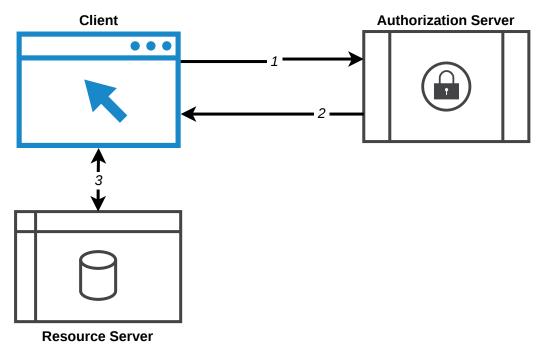
## **Step 7. Resource interaction**

The Client can now send (a) request(s) to the Service, on behalf of its User. It does so by sending requests to the Resource Server, along with the Access Token. The Resource Server uses the Access Token for its access control decision and any customization of the service or data for the User, if applicable. The Resource Server responds based on these decisions to the Client. The Client can inform and interact with the User based on the information received from the Resource Server. The contents and protocol of the Resource Request and Resource Response are out of scope of this profile.

# **Use case: Token exchange**

Token exchange is useful when the resource server requires a different token than the one(s) the client originally received during a prior interaction. To obtain this different token, the client can use token exchange [rfc8693]. It can be used for both impersonation and delegation, as specified in that RFC.

The flow for such a machine to machine interaction is shown in the figure below.



*Figure 3 Use case token exchange* 

Note that the method by which the client received the original token(s)—either directly from an OAuth or SAML token server, or indirectly via other client applications—is not part of the token exchange process itself and, therefore, is not depicted in the diagram.

#### § Step 1: Token Exchange Request

Using client credentials, the client sends a token exchange request to the Authorization Server's token endpoint. At a minimum, this request includes the subject token and the token exchange grant type. Optionally, the request may also include an actor token, audience, resource, and scopes.

## § Step 2: Access Token Response

The Authorization Server authenticates the client and validates the tokens and requested access, according to established policies. If valid, it issues a new token (typically an access token). This new token contains an "act" or "may\_act" claim, linking the new token to the original subject token(s) as outlined in RFC 8693, as well as other claims relevant to the token type (e.g., an access token). The response may also include a refresh token, expiration details, and scopes. The client receives the new (access) token and can use it to make requests to the service API.

## **Step 3: Resource Interaction**

The client can now use the new token to send requests to the service. These requests are directed to the Resource Server, which evaluates the access token as part of its access control decisions (e.g., by using the subject in the access token). The Resource Server responds based on these access control decisions. The specifics of the Resource Request and Resource Response are beyond the scope of this document.

# § 1. Conformance

As well as sections marked as non-normative, all authoring guidelines, diagrams, examples, and notes in this specification are non-normative. Everything else in this specification is normative.

The key words *MAY*, *MUST*, *MUST NOT*, *NOT RECOMMENDED*, *OPTIONAL*, *RECOMMENDED*, *REQUIRED*, *SHALL*, *SHALL NOT*, *SHOULD*, and *SHOULD NOT* in this document are to be interpreted as described in <u>BCP 14</u> [*RFC2119*] [*RFC8174*] when, and only when, they appear in all capitals, as shown here.

# § Introduction

This document profiles the OAuth 2.0 web authorization framework for use in the context of securing web-facing application programming interfaces (APIs), particularly Representational State Transfer (RESTful) APIs. The OAuth 2.0 specifications accommodate a wide range of implementations with varying security and usability considerations, across different types of software clients. The OAuth 2.0 client, protected resource, and authorization server profiles defined in this document serve two purposes:

- 1. Define a mandatory baseline set of security controls suitable for a wide range of government use cases, while maintaining reasonable ease of implementation and functionality
- 2. Identify optional, advanced security controls for sensitive use cases where increased risk justifies more stringent controls.

This OAuth profile is intended to be shared broadly, and has been greatly influenced by the [HEART OAuth2 Profile][HEART.OAuth2]. derived from the [iGov OAuth2 profile] [iGOV.OAuth2].

# § 1.1 Requirements Notation and Conventions

The key words "*MUST*", "*MUST NOT*", "*REQUIRED*", "*SHALL*", "*SHALL NOT*", "*SHOULD*", "*SHOULD NOT*", "*RECOMMENDED*", "*NOT RECOMMENDED*", "*MAY*", and "*OPTIONAL*" in this document are to be interpreted as described in [*rfc2119*].

All uses of [JSON Web Signature (JWS)] [*rfc7515*] and [JSON Web Encryption (JWE)] [*rfc7516*] data structures in this specification utilize the JWS Compact Serialization or the JWE Compact Serialization; the JWS JSON Serialization and the JWE JSON Serialization are not used.

# § 1.2 Terminology

This specification uses the terms "Access Token", "Authorization Code", "Authorization Endpoint", "Authorization Grant", "Authorization Server", "Client", "Client Authentication", "Client Identifier", "Client Secret", "Grant Type", "Protected Resource", "Redirection URI", "Refresh Token", "Resource Owner", "Resource Server", "Response Type", and "Token Endpoint" defined by [OAuth 2.0] [*rfc6749*], the terms "Claim Name", "Claim Value", and "JSON Web Token (JWT)" defined by [JSON Web Token (JWT)] [*rfc7519*], and the terms defined by [OpenID Connect Core 1.0] [*OpenID.Core*].

# § 1.3 Conformance

This specification defines requirements for the following components:

- OAuth 2.0 clients.
- OAuth 2.0 authorization servers.
- OAuth 2.0 protected resources.

The specification also defines features for interaction between these components:

- Client to authorization server.
- Protected resource to authorization server.

# iGov-NL: Additional content

This profile is based upon the international government assurance profile for OAuth 2.0 (iGov) [*iGOV.OAuth2*] as published by the OpenID Foundation (https://openid.net/foundation/). It should be considered a fork of this profile as the iGov profile is geared more towards the American situation and in the Netherlands we have to deal with an European Union context.

When an iGov iGov-NL-compliant component is interacting with other iGov iGov-NL-compliant components, in any valid combination, all components *MUST* fully conform to the features and requirements of this specification. All interaction with non-iGov iGov-NL components is outside the scope of this specification.

An <del>iGov</del> iGov-NL-compliant OAuth 2.0 authorization server *MUST* support all features as described in this specification. A general-purpose authorization server *MAY* support additional features for use with non-<del>iGov</del> iGov-NL clients and protected resources.

An iGov iGov-NL-compliant OAuth 2.0 client *MUST* use all functions as described in this specification. A general-purpose client library *MAY* support additional features for use with non-iGov authorization servers and protected resources.

An iGov iGov-NL-compliant OAuth 2.0 protected resource *MUST* use all functions as described in this specification. A general-purpose protected resource library *MAY* support additional features for use with non-iGov iGov-NL authorization servers and clients.

# § 2. Client Profiles

# § 2.1 Client Types

The following profile descriptions give patterns of deployment for use in different types of client applications based on the OAuth grant type. Additional grant types, such as assertions, chained tokens, or other mechanisms, are out of scope of this profile and must be covered separately by appropriate profile documents.

## § 2.1.1 Full Client with User Delegation

This client type applies to clients that act on behalf of a particular resource owner and require delegation of that user's authority to access the protected resource. Furthermore, these clients are

capable of interacting with a separate web browser application to facilitate the resource owner's interaction with the authentication endpoint of the authorization server.

These clients *MUST* use the authorization code flow of OAuth 2 by sending the resource owner to the authorization endpoint to obtain authorization. The user *MUST* authenticate to the authorization endpoint. The user's web browser is then redirected back to a URI hosted by the client service, from which the client can obtain an authorization code passed as a query parameter. The client then presents that authorization code along with its own credentials (private\_key\_jwt) to the authorization server's token endpoint to obtain an access token.

# iGov-NL: Additional content

In addition to private\_key\_jwt, the client authentication method tls\_client\_auth [rfc8705] MAY also be used.

These clients *MUST* be associated with a unique public key, as described in <u>Section 2.3.4</u>.

This client type *MAY* request and be issued a refresh token if the security parameters of the access request allow for it.

# § 2.1.2 Native Client with User Delegation

This client type applies to clients that act on behalf of a particular resource owner, such as an app on a mobile platform, and require delegation of that user's authority to access the protected resource. Furthermore, these clients are capable of interacting with a separate web browser application to facilitate the resource owner's interaction with the authentication endpoint of the authorization server. In particular, this client type runs natively on the resource owner's device, often leading to many identical instances of a piece of software operating in different environments and running simultaneously for different end users.

These clients *MUST* use the authorization code flow of OAuth 2 by sending the resource owner to the authorization endpoint to obtain authorization. The user *MUST* authenticate to the authorization endpoint. The user is then redirected back to a URI hosted by the client, from which the client can obtain an authorization code passed as a query parameter. The client then presents that authorization code along to the authorization server's token endpoint to obtain an access token.

#### Native clients *MUST* either:

- use dynamic client registration to obtain a separate client id for each instance, or
- act as a public client by using a common client id and use PKCE [*rfc7636*] to protect calls to the token endpoint.

Native applications using dynamic registration *SHOULD* generate a unique public and private key pair on the device and register that public key value with the authorization server. Alternatively, an authorization server *MAY* issue a public and private key pair to the client as part of the registration process. In such cases, the authorization server *MUST* discard its copy of the private key. Client credentials *MUST NOT* be shared among instances of client software.

Dynamically registered native applications *MAY* use PKCE.

Native applications not registering a separate public key for each instance are considered Public Clients, and *MUST* use PKCE [*rfc7636*] with the S256 code challenge mechanism. Public Clients do not authenticate with the Token Endpoint in any other way.

#### § 2.1.3 Direct Access Client

This client type *MUST NOT* request or be issued a refresh token.

This profile applies to clients that connect directly to protected resources and do not act on behalf of a particular resource owner, such as those clients that facilitate bulk transfers.

These clients use the client credentials flow of OAuth 2 by sending a request to the token endpoint with the client's credentials and obtaining an access token in the response. Since this profile does not involve an authenticated user, this flow is appropriate only for trusted applications, such as those that would traditionally use a developer key. For example, a partner system that performs bulk data transfers between two systems would be considered a direct access client.

# § 2.2 Client Registration

All clients *MUST* register with the authorization server. For client software that may be installed on multiple client instances, such as native applications or web application software, each client instance *MAY* receive a unique client identifier from the authorization server. Clients that share client identifiers are considered public clients.

Client registration *MAY* be completed by either static configuration (out-of-band, through an administrator, etc...) or dynamically.

#### § 2.2.1 Redirect URI

Clients using the authorization code grant type *MUST* register their full redirect URIs. The Authorization Server *MUST* validate the redirect URI given by the client at the authorization endpoint using strict string comparison.

A client *MUST* protect the values passed back to its redirect URI by ensuring that the redirect URI is one of the following:

- Hosted on a website with Transport Layer Security (TLS) protection (a Hypertext Transfer Protocol – Secure (HTTPS) URI)
- Hosted on a client-specific non-remote-protocol URI scheme (e.g., myapp://)
- Hosted on the local domain of the client (e.g., http://localhost/).

Clients *MUST NOT* allow the redirecting to the local domain.

Clients *SHOULD NOT* have multiple redirect URIs on different domains.

Clients *MUST NOT* forward values passed back to their redirect URIs to other arbitrary or user-provided URIs (a practice known as an "open redirector").

#### § 2.3 Connection to the Authorization Server

## § 2.3.1 Requests to the Authorization Endpoint

Full clients and browser-embedded clients making a request to the authorization endpoint *MUST* use an unpredictable value for the state parameter with at least 128 bits of entropy. Clients *MUST* validate the value of the state parameter upon return to the redirect URI and *MUST* ensure that the state value is securely tied to the user's current session (e.g., by relating the state value to a session identifier issued by the client software to the browser).

Clients *MUST* include their full redirect URI in the authorization request. To prevent open redirection and other injection attacks, the authorization server *MUST* match the entire redirect URI using a direct string comparison against registered values and *MUST* reject requests with an invalid or missing redirect URI.

# iGov-NL: Additional content

When the Authorization Server supports <u>OAuth 2.0 Pushed Authorization Requests</u> (PAR), the client may first use PAR (or is required to use it, see

require\_pushed\_authorization\_requests in <u>Authorization Server Metadata</u>). The client can initiate the flow by pushing a POST request with the parameters to the pushed\_authorization\_request\_endpoint. The Authorization Server responds to the client with a request\_uri containing a reference. The client will then use this request\_uri as the redirect.

Public clients *MUST* apply PKCE, as per RFC7636. As code\_challenge the S256 method *MUST* be applied. Effectively this means that browser based and native clients *MUST* include a cryptographic random code\_verifier of at least 128 bits of entropy and the code challenge method with the value S256.

## Request fields:

#### client id

Mandatory. *MUST* have the value as obtained during registration.

## scope

Optional.

# response\_type

Mandatory. *MUST* have value code for the Authorization Code Flow.

## redirect\_uri

Mandatory. *MUST* be an absolute HTTPS URL, pre-registered with the Authorization Server.

#### state

Mandatory, see above. Do not use the SessionID secure cookie for this.

# code\_challenge

In case of using a native app as user-agent mandatory. (Eg. an UUID [rfc4122])

## code\_challenge\_method

In case code\_challenge is used with a native app, mandatory. *MUST* use the value S256.

# EXAMPLE 1

The following is a sample response from a web-based client to the end user's browser for the purpose of redirecting the end user to the authorization server's authorization endpoint:

HTTP/1.2 302 Found

Cache-Control: no-cache

Connection: close

Content-Type: text/plain; charset=UTF-8 Date: Wed, 07 Jan 2015 20:24:15 GMT

Location: https://idp-p.example.com/authorize?client id=55f9f559-

2496-49d4-b6c3-

 $351a586b7484\&nonce=cd567ed4d958042f721a7cdca557c30d\&response\_type=code\&scope=openid+email\&redirect\_uri=https\\ \%3A\\ \%2F\\ \%2Fclient.example.or$ 

g%2Fcb

Status: 302 Found

This causes the browser to send the following (non-normative) request to the authorization endpoint:

GET /authorize? client\_id=55f9f559-2496-49d4-b6c3-351a586b7484&nonce=cd567ed4d958042f721a7cdca557c30d&response\_type=code&scope=openid+email&redirect\_uri=https%3A%2F%2Fclient.example.org%2Fcb HTTP/1.1

Host: idp-p.example.com

## § 2.3.2 Response from the Authorization Endpoint

# iGov-NL: Additional content

Response parameters

#### code

Mandatory. *MUST* be a cryptographic random value, using an unpredictable value with at least 128 bits of entropy.

#### state

Mandatory. *MUST* be a verbatim copy of the value of the state parameter in the Authorization Request.

#### § 2.3.3 Requests to the Token Endpoint

Full clients, native clients with dynamically registered keys, and direct access clients as defined above *MUST* authenticate to the authorization server's token endpoint using a JWT assertion as defined by the [JWT Profile for OAuth 2.0 Client Authentication and Authorization Grants] [rfc7523] using only the private\_key\_jwt method defined in [OpenID Connect Core] [OpenID.Core]. The assertion *MUST* use the claims as follows:

## iGov-NL: Additional content

When using the JWT assertion, the assertion *MUST* use the claims as follows:

iss

the client ID of the client creating the token

sub

the client ID of the client creating the token

aud

the URL of the authorization server's token endpoint

iat

the time that the token was created by the client

exp

the expiration time, after which the token *MUST* be considered invalid

iti

a unique identifier generated by the client for this authentication. This identifier *MUST* contain at least 128 bits of entropy and *MUST NOT* be re-used by any subsequent authentication token.

## iGov-NL: Additional content

In addition to private\_key\_jwt, the client authentication method tls\_client\_auth [rfc8705] MAY also be used. Examples of this method can be found in the related documentation of the specific standards.

Private Key JWT is a method of client authentication where the client creates and signs a JWT using its own private key. This method is described in a combination of RFC 7521 (Assertion Framework) and RFC 7523 (JWT Profile for Client Authentication), and referenced by OpenID Connect and FAPI 2.0 Security Profile.

The JWT assertion *MUST* be signed by the client using the client's private key. See <u>Section 2.3.4</u> for mechanisms by which the client can make its public key known to the server. The authorization

server *MUST* support the RS256 signature method (the Rivest, Shamir, and Adleman (RSA) signature algorithm with a 256-bit hash) and *MAY* use other asymmetric signature methods listed in the JSON Web Algorithms ( [JWA] [*rfc7518*] ) specification.

# iGov-NL : Additional content

In addition to above signing methods, the Authorization server *SHOULD* support PS256 signing algorithm [*rfc7518*] for the signing of the private\_key\_jwt.

# EXAMPLE 2

The following sample JWT contains the above claims and has been signed using the RS256 JWS algorithm and the client's own private key (with line breaks for display purposes only):

eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NiJ9.ew0KICAgImlzcyI6ICI1NWY5ZjU10S0yNDQtYjZjMy0zNTFhNTg2Yjc00DQiLA0KICAgInN1Yi16ICI1NWY5ZjU10S0yNDk2LTQ5ZDQzNTFhNTg2Yjc00DQiLA0KICAgImF1ZCI6ICJodHRwczovL2lkcC1wLmV4YW1wbGUuY29tLiwNCiAgICJpYXQiOiAxNDE4Njk4Nzg4LA0KICAgImV4cCI6IDE0MTg20Tg4NDgsDQogICAgIjE0MTg20Tg30DgvMTA3YzRkYTUx0TRkZjQ2M2U1MmI1Njg2NWM1YWYzNGU1NTk1Ig0KfJQGq3G20Ec2kUCQ8zVj7pqff87Sua5nktLIHj28l5on05VpsL4sRHIGOvrpo7X06jgtPWyLyo1TWHbtErQEGpmf7nKiNxVCXlGYJXSDJB6shP30fvdUc24urPJNUGBEDptIgT7-Lhf6BbNe0PRFDqQoLWqe7UxuI06dKX3SEQRMqcxYSIAfP7CQZ4WLuKXb6oEbaqz6gL4l6p83G7wTHszt-ZjKR38v4F\_MnSrx8e0iIqgZwurW0RtetEWvynOCJXk-p166T7qZR45xuCxgOotXY7GtgspMg0EKj3b\_WpCiuNEwQ

This is sent in the request to the token endpoint as in the following example:

```
POST /token HTTP/1.1
Content-Type: application/x-www-form-urlencoded
User-Agent: Rack::OAuth2 (1.0.8.7) (2.5.3.2, ruby 2.1.3 (2014-09-19))
Accept: */*
Date: Tue, 16 Dec 2014 02:59:48 GMT
Content-Length: 884
Host: idp-p.example.com
grant type=authorization code
&code=sedaFh
&scope=openid+email
&client id=55f9f559-2496-49d4-b6c3-351a586b7484
&redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb
&client assertion type=urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-
&client assertion=eyJ0eXAi0iJKV1QiLCJhbGci0iJSUzI1NiJ9.ew0KICAgImlzcyI
5ZjU10S0yNDk2LTQ5ZDQtYjZjMy0zNTFhNTg2Yjc00DQiLA0KICAgInN1YiI6ICI1NWY5Z
Dk2LTQ5ZDQtYjZjMy0zNTFhNTg2Yjc00DQiLA0KICAgImF1ZCI6ICJodHRwczovL2lkcC1
wbGUuY29tL3Rva2VuIiwNCiAgICJpYXQi0iAxNDE4Njk4Nzg4LA0KICAgImV4cCI6IDE0M
DgsDQogICAianRpIjogIjE0MTg20Tg30DgvMTA3YzRkYTUx0TRkZjQ2M2U1MmI1Njg2NWM
1NTk1Ig0KfQ.t-_gX8JQGq3G20Ec2kUCQ8zVj7pqff87Sua5nktLIHj28l5on05VpsL4sR
7X06jgtPWy3iLXv3-NLyo1TWHbtErQEGpmf7nKiNxVCXlGYJXSDJB6shP30fvdUc24urPJ
IgT7-Lhf6BbwQNlMQubNeOPRFDqQoLWqe7UxuI06dKX3SEQRMqcxYSIAfP7CQZ4WLuKXb6
L4l6p83G7wKGDeLETOTHszt-ZjKR38v4F MnSrx8e0iIggZwurW0RtetEWvyn0CJXk-p16
xuCxgOotXY6O3et4n77GtgspMgOEKj3b_WpCiuNEwQ
```

## § 2.3.4 Client Keys

Clients using the authorization code grant type or direct access clients using the client credentials grant type MUST have a public and private key pair for use in authentication to the token endpoint. These clients MUST register their public keys in their client registration metadata by either sending the public key directly in the jwks field or by registering a jwks\_uri that MUST be reachable by the authorization server. It is RECOMMENDED that clients use a jwks\_uri if possible as this allows for key rotation more easily. This applies to both dynamic and static (out-of-band) client registration.

The jwks field or the content available from the jwks\_uri of a client *MUST* contain a public key in [JSON Web Key Set (JWK Set)] [*rfc7517*] format. The authorization server *MUST* validate the content of the client's registered jwks\_uri document and verify that it contains a JWK Set. The following example is of a 2048-bit RSA key:

# EXAMPLE 3

# iGov-NL: Additional content

In case the Authorization Server, Resource Server and client are not operated under responsibility of the same organisation, each party *MUST* use PKIoverheid certificates with OIN. The PKIoverheid certificate *MUST* be included either as a x5c or as x5u parameter, as per [*rfc7517*] §4.6 and 4.7. Parties *SHOULD* at least support the inclusion of the certificate as x5c parameter, for maximum interoperability. Parties *MAY* agree to use x5u, for instance for communication within specific environments.

#### **EXAMPLE 4**

For reference, the corresponding public/private key pair for this public key is the following (in JWK format):

```
{
   "alg": "RS256",
   "d": "PjIX4i2NsBQu0VIw74ZDjgthYsoFvaoah9GP-
cPrai5s5VUIlLoadEAdGbBrss 6dR58x pRlPHWh04vLQsFBuwQNc9SN306TAaai9Jg
5TlCi6V0d406lUoTYpMR0cxFIU-xFMwII-- 0ZRqmAxiYiAXQj7TKMKvqSvV07-9-
YdhMwHoD-
UrJkfnZckMKSs0BoAbjReTski3IV9f1wVJ53 pmr9NBpiZeHYmmG 1QDSbBuY35Zumm
ut4QShF-fey2qSALdp9h9hRk1p1fsTZtH2lwpvm0cjwDkSDv-z0-
4Pt8NuOyqNVPFahROBPlsMVxc zjPck8ltblalBHPo6AQ",
   "e": "AQAB",
   "n": "kAMYD62n f2rUcR4awJX4uccDt0zcXRssq mDch5-
ifcShx9aTtTVza23PTn3KaKrsBXwWcfioXR6z0n5eYdZ0VGNBf0R4rxF5i7t3hfb4Wk
S50EK1gBYk2l09NSrQ-xG9QsUsAnN6RHksXqsd0qv-nxjLexDfIJlgbcCN9h6TB-
C66ZXv7PVhl19gIYVifSU7liHkLe0l0fw7jUI6rHLHf4d96 neR1HrNIK xssr99Xpv
1EM ubxpktX0T925-gej9fMEpzzQ5HLmcNt1H2 VQ Ww1J0Ln9vRn-
H48FDj7TxlIT74XdTZgTv31w GRPAOfyxEw ZUmxhz5Z-gTlQ",
   "kty": "RSA",
   "kid": "oauth-client"
}
```

Note that the second example contains both the public and private keys, while the first example contains the public key only.

# § 2.4.1 Requests to the Protected Resource

Clients *SHOULD* send bearer tokens passed in the Authentication header as defined by [*rfc6750*]. Clients *MAY* use the form-parameter or query-parameter methods in [*rfc6750*]. Authorized requests *MUST* be made over TLS, and clients *MUST* validate the protected resource server's certificate.

## **EXAMPLE 5**

An example of an OAuth-protected call to the OpenID Connect UserInfo endpoint, sending the token in the Authorization header, follows:

GET /userinfo HTTP/1.1

Authorization: Bearer

eyJhbGci0iJSUzI1NiJ9.eyJleHAi0jE0MTg3MDI0MTIsImF1ZCI6WyJjMWJj0DRlNC 00N2VlLTRiNjQtYmI1Mi01Y2RhNmM4MWY30DgiXSwiaXNzIjoiaHR0cHM6XC9cL2lkc C1wLmV4YW1wbGUuY29tXC8iLCJqdGki0iJkM2Y3YjQ4Zi1iYzgxLTQwZWMtYTE0MC05 NzRhZjc0YzRkZTMiLCJpYXQi0jE0MTg20Tg4MTJ9.iHMz tzZ90 b0QZS-

AXtQtvclZ7M4uDAs1WxCFxpgBfBanolW37X8h1ECrUJexbXMD6rrj\_uuWEqPD738oWR o0r0noKJAgbF1GhXPAYnN5pZRygWSD1a6RcmN85SxUig0H0e7drmdmRkPQgbl2wMhu-6h20qw-ize4dKmykN9UX 2drXrooSxpRZqFVYX8PkCvCCBuFy20-

HPRov SwtJMk5qjUWMyn2I4Nu2s-R20aCA-

7T5dunr0iWCkLQnVnaXMfA22RlRiU87nl21zappYb1\_EHF9ePyq3Q353cDUY7vje8m2

kKXYTgc\_bUAYuW-W3SMSw5UlKaHtSZ6PQICoA

Accept: text/plain, application/json, application/\*+json, \*/\*

Host: idp-p.example.com Connection: Keep-Alive

User-Agent: Apache-HttpClient/4.2.3 (java 1.5)

# § 3. Authorization Server Profile

All servers MUST conform to applicable recommendations found in the Security Considerations sections of [rfc6749] and those found in the "OAuth Threat Model Document" [rfc6819].

The authorization server *MUST* protect all communications to and from its OAuth endpoints using TLS.

## § 3.1 Connections with clients

# § 3.1.1 Grant types

The authorization server *MUST* support the authorization\_code , and *MAY* support the client\_credentials grant types as described in <u>Section 2</u>. The authorization server *MUST* limit each registered client (identified by a client ID) to a single grant type only, since a single piece of software will be functioning at runtime in only one of the modes described in <u>Section 2</u>. Clients that have multiple modes of operation *MUST* have a separate client ID for each mode.

## iGov-NL: Additional content

Token exchange grant type [*rfc8693*] *SHOULD* be supported by the authorization server. This is used to translate a third party token (OAuth or SAML). For example, exchanging a DigiD or eHerkenning SAML token for an OAuth token.

When token exchange is not supported, SAML bearer grant [*rfc7522*] *MAY* be used as alternative.

#### § 3.1.2 Client authentication

The authorization server *MUST* enforce client authentication as described above for the authorization code and client credentials grant types. Public client cannot authenticate to the authorization server.

The authorization server *MUST* validate all redirect URIs for authorization code and implicit grant types.

## § 3.1.3 Dynamic Registration

Dynamic Registration allows for authorized Clients to on-board programmatically without administrative intervention. This is particularly important in ecosystems with many potential Clients, including Mobile Apps acting as independent Clients. Authorization servers *MUST* support dynamic client registration, and clients *MAY* register using the [Dynamic Client Registration

Protocol] [*rfc7591*] for authorization code grant types. Clients *MUST NOT* dynamically register for the client credentials grant type. Authorization servers *MAY* limit the scopes available to dynamically registered clients.

Authorization servers *MAY* protect their Dynamic Registration endpoints by requiring clients to present credentials that the authorization server would recognize as authorized participants. Authorization servers *MAY* accept signed software statements as described in [*rfc7591*] [*rfc7591*] issued to client software developers from a trusted registration entity. The software statement can be used to tie together many instances of the same client software that will be run, dynamically registered, and authorized separately at runtime. The software statement *MUST* include the following client metadata parameters:

#### redirect uris

array of redirect URIs used by the client; subject to the requirements listed in [Section 2.2.1] (#redirect-uri)

#### grant\_types

grant type used by the client; must be "authorization\_code" or "client\_credentials"

# jwks\_uri or jwks

client's public key in JWK Set format; if jwks\_uri is used it *MUST* be reachable by the Authorization Server and point to the client's public key set

#### client name

human-readable name of the client

#### client\_uri

URL of a web page containing further information about the client

# iGov-NL: Additional content

In this version of iGov-NL we recommend that the Authorization servers *SHOULD* support dynamic client registration. However depending on how the future authentication architecture of the Dutch government develops in regards to OAuth we may revisit this in a future revision. The current requirement fits an architecture where there is a limited number of widely used authorization servers. However if in practice we start seeing a very large number of authorization servers with limited use this requirement can become a recommendation in a future version of this profile. For these authorization servers with limited use we consider mandatory support for dynamic client registration a large burden.

## § 3.1.4 Client Approval

When prompting the end user with an interactive approval page, the authorization server *MUST* indicate to the user:

- Whether the client was dynamically registered, or else statically registered by a trusted administrator, or a public client.
- Whether the client is associated with a software statement, and in which case provide information about the trusted issuer of the software statement.
- What kind of access the client is requesting, including scope, protected resources (if applicable beyond scopes), and access duration.

For example, for native clients a message indicating a new App installation has been registered as a client can help users determine if this is the expected behaviour. This signal helps users protect themselves from potentially rogue clients.

# § 3.1.5 Discovery

The authorization server *MUST* provide an [OpenID Connect service discovery] [*OpenID.Discovery*] endpoint listing the components relevant to the OAuth protocol:

#### issuer

*REQUIRED*. The fully qualified issuer URL of the server

# authorization\_endpoint

*REQUIRED*. The fully qualified URL of the server's authorization endpoint defined by [OAuth 2.0] [*rfc6749*]

#### token endpoint

*REQUIRED*. The fully qualified URL of the server's token endpoint defined by [OAuth 2.0] [*rfc6749*]

## introspection\_endpoint

*OPTIONAL*. The fully qualified URL of the server's introspection endpoint defined by [OAuth Token Introspection] [*rfc7662*]

## revocation\_endpoint

*OPTIONAL*. The fully qualified URL of the server's revocation endpoint defined by [OAuth 2.0 Token Revocation] [*rfc7009*]

# jwks\_uri

*REQUIRED*. The fully qualified URI of the server's public key in [JWK Set] [*rfc7517*] format

If the authorization server is also an OpenID Connect Provider, it *MUST* provide a discovery endpoint meeting the requirements listed in Section 3.6 of the iGov OpenID Connect profile.

## EXAMPLE 6

The following example shows the JSON document found at a discovery endpoint for an authorization server:

```
iGov-NL : Additional content
Added tls_client_auth
```

```
"request parameter supported": true,
"registration endpoint": "https://idp-p.example.com/register",
"userinfo signing alg values supported": [
  "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
],
"token endpoint": "https://idp-p.example.com/token",
"request uri parameter supported": false,
"request_object_encryption_enc_values_supported": [
  "A192CBC-HS384", "A192GCM", "A256CBC+HS512",
  "A128CBC+HS256", "A256CBC-HS512",
  "A128CBC-HS256", "A128GCM", "A256GCM"
],
"token endpoint auth methods supported": [
  "private key_jwt", "tls_client_auth"
],
"jwks uri": "https://idp-p.example.com/jwk",
"authorization endpoint": "https://idp-p.example.com/authorize",
"require_request_uri_registration": false,
"introspection endpoint": "https://idp-p.example.com/introspect",
"request_object_encryption_alg_values_supported": [
  "RSA-0AEP", "RSA1 5", "RSA-0AEP-256"
],
"service documentation": "https://idp-p.example.com/about",
"response types supported": [
  "code", "token"
],
"token endpoint auth signing alg values supported": [
  "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
"revocation_endpoint": "https://idp-p.example.com/revoke",
"request_object_signing_alg_values_supported": [
  "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
],
"grant types supported": [
  "authorization code",
  "urn:ietf:params:oauth:grant-type:jwt-bearer",
  "client credentials",
```

```
"urn:ietf:params:oauth:grant-type:token-exchange"
],
   "scopes_supported": [
        "profile", "openid", "email", "address", "phone", "offline_access"
],
        "op_tos_uri": "https://idp-p.example.com/about",
        "issuer": "https://idp-p.example.com/",
        "op_policy_uri": "https://idp-p.example.com/about"
}
```

Clients and protected resources *SHOULD* cache this discovery information. It is *RECOMMENDED* that servers provide cache information through HTTP headers and make the cache valid for at least one week.

The server *MUST* provide its public key in JWK Set format. The key *MUST* contain the following fields:

#### kid

The key ID of the key pair used to sign this token

#### kty

The key type

#### alg

The default algorithm used for this key

## **EXAMPLE 7**

The following is an example of a 2048-bit RSA public key:

Clients and protected resources *SHOULD* cache this key. It is *RECOMMENDED* that servers provide cache information through HTTP headers and make the cache valid for at least one week.

## iGov-NL: Additional content

iGov requires that the authorization server provides an OpenIDConnect service discovery endpoint. Recently OAuth 2.0 Authorization Server Metadata [*rfc8414*] has been finalized, this provide the same functionality in a more generic way and could replace this requirement in a future version of the iGov-NL profile.

#### § 3.1.6 Revocation

Token revocation allows a client to signal to an authorization server that a given token will no longer be used.

An authorization server *MUST* revoke the token if the client requesting the revocation is the client to which the token was issued, the client has permission to revoke tokens, and the token is revocable.

A client *MUST* immediately discard the token and not use it again after revoking it.

#### § 3.1.7 PKCE

An authorization server *MUST* support the Proof Key for Code Exchange (PKCE [*rfc7636*] ) extension to the authorization code flow, including support for the S256 code challenge method. The authorization server *MUST NOT* allow an iGov iGov-NL client to use the plain code challenge method.

#### § 3.1.8 Redirect URIs

The authorization server *MUST* compare a client's registered redirect URIs with the redirect URI presented during an authorization request using an exact string match.

#### **§ 3.1.9 RefreshTokens**

Authorization Servers *MAY* issue refresh tokens to clients under the following context:

Clients *MUST* be registered with the Authorization Server.

Clients *MUST* present a valid client\_id. Confidential clients *MUST* present a signed client\_assertion with their associated keypair.

Clients using the Direct Credentials method *MUST NOT* be issued refresh\_tokens. These clients *MUST* present their client credentials with a new access\_token request and the desired scope.

# iGov-NL: Additional content

Refresh tokens for public clients must either be sender-constrained or one-time use. From <u>The OAuth 2.1 Authorization Framework: Refresh Token Grant</u>

#### § 3.1.10 Token Response

#### iGov-NL: Additional content

The Token Response has the following contents:

#### access token

Mandatory. Structured access token a.k.a. a JWT Bearer token. The JWT *MUST* be signed.

#### token\_type

Mandatory. The type for a JWT Bearer token is Bearer, as per [*rfc6750*]

#### refresh\_token

Under this profile, refresh tokens are supported.

## expires\_in

Optional. Lifetime of the access token, in seconds.

## scope

Optional. Scope(s) of the access (token) granted, multiple scopes are separated by whitespace. The scope *MAY* be omitted if it is identical to the scope requested.

For best practices on token lifetime see section <u>Token Lifetimes</u>.

# § 3.2 Connections between authorization servers and protected resources

Unlike the core OAuth protocol, the <del>iGov</del> iGov-NL profile defines interoperability requirements between authorization servers and resource servers.

[/]: Dit is §3.3 geworden in de nieuwe versie van iGov. De tekst is ook (qua zinsbouw) aangepast.

#### § 3.2.1 JSON Web Tokens (JWT)

In order to facilitate interoperability with multiple protected resources, all <code>iGov</code> iGov-NL-compliant authorization servers *MUST* issue cryptographically signed tokens in the JSON Web Token (JWT) format as defined in <code>JSON Web Token (JWT) Profile for OAuth 2.0 Access Tokens</code> . The information carried in the JWT is intended to allow a protected resource to quickly test the integrity of the token without additional network calls, and to allow the protected resource to determine which authorization server issued the token. The protected resource <code>MAY</code> use the

authorization server token introspection service, which is in turn used for conveying service to retrieve additional security information about the token.

The server *MUST* issue tokens as JWTs with, at minimum, the following claims:

#### iss

The issuer URL of the server that issued the token

#### client\_id

The client id of the client to whom this token was issued

#### exp

The expiration time (integer number of seconds since from 1970-01-01T00:00:00Z UTC), after which the token *MUST* be considered invalid

#### įti

A unique JWT Token ID value with at least 128 bits of entropy. This value *MUST NOT* be reused in another token. Clients *MUST* check for reuse of jti values and reject all tokens issued with duplicate jti values.

#### sub

The identifier of the end-user that authorized this client, or the client id of a client acting on its own behalf (such as a bulk transfer). Since this information could potentially leak private user information, it should be used only when needed. End-user identifiers *SHOULD* be pairwise anonymous identifiers unless the audiance requires otherwise.

#### aud

The audience of the token, an array containing the identifier(s) of protected resource(s) for which the token is valid, if this information is known. The aud claim may contain multiple values if the token is valid for multiple protected resources. Note that at runtime, the authorization server may not know the identifiers of all possible protected resources at which a token may be used.

## iat

The "iat" (issued at) claim identifies the time at which the JWT was issued. This claim can be used to determine the age of the JWT. Its value *MUST* be a number containing a NumericDate value.

## EXAMPLE 8

The following sample claim set illustrates the use of the required claims for an access token as defined in this profile; additional claims *MAY* be included in the claim set:

```
{
   "exp": 1418702388,
   "client_id": "55f9f559-2496-49d4-b6c3-351a586b7484",
   "iss": "https://idp-p.example.com/",
   "sub" : "93ff28e3-3982-c34b-f2a4-98bb3d42b277",
   "aud": "api.example.com"
   "jti": "2402f87c-b6ce-45c4-95b0-7a3f2904997f",
   "iat": 1418698788,
   "acr": "myACR"
}
```

The access tokens *MUST* be signed with [JWS] [*RFC7515*] . If private\_key\_jwt is used, the authorization server *MUST* support the RS256 signature method for tokens and *MAY* use other asymmetric signing methods as defined in the [IANA JSON Web Signatures and Encryption Algorithms registry] [*JWS.JWE.Alqs*] . The JWS header *MUST* contain the following fields:

# iGov-NL: Additional content

In addition to above signing methods, the Authorization server *SHOULD* support PS256 signing algorithm [*rfc7518*] for the signing of the JWT Bearer Tokens.

#### kid

The key ID of the key pair used to sign this token

## **EXAMPLE 9**

This example access token has been signed with the server's private key using RS256:

eyJhbGci0iJSUzI1NiJ9.ew0KICAgImV4cCI6IDE0MTg3MDIz0DgsDQogICAiYXpwIjo gIjU1ZjlmNTU5LTI0OTYtNDlkNC1iNmMzLTM1MWE10DZiNzQ4NCIsDQogICAiaXNzIjo gImh0dHBz0i8vaWRwLXAuZXhhbXBsZS5jb20vIiwNCiAgICJqdGki0iAiMjQwMmY4N2M tYjZjZS00NWM0LTk1YjAtN2EzZjI5MDQ50TdmIiwNCiAgICJpYXQi0iAxNDE4Njk4Nzg 4LA0KICAgImtpZCI6ICJyc2ExIg0KfQ.iB6Ix8Xeg-L-nMStgE1X75w7zgXabzw7znWU ECOsXpHfnYYqb-CET9Ah5IQyXIDZ20qEyN98UydgsTpi01YJDDcZV4f4DgY0ZdG3yBW3 XqwUQwbgF7Gwza9Z4AdhjHjzQx-lChXAyfL1xz0SBDkVbJdDjtXbvaSIyfF7ueWF3M1C M70-GXuRY4iucKbuytz9e7eW4Egkk4Aagl3iTk9-l5V-tvL6dYu8IlR93GKsaKE8bng0 EZ04xcnq8s4V5Yykuc\_NARBJENiKTJM8w3wh7xWP2gvMp39Y0XnuC0LyIx-J1ttX83xm pXDaLyyY-4HT9XHT9V73fKF8rLWJu9grrA Refresh tokens *SHOULD* be signed with [JWS] [*rfc7515*] using the same private key and contain the same set of claims as the access tokens.

The authorization server *MAY* encrypt access tokens and refresh tokens using [JWE] [*rfc7516*]. Encrypted access tokens *MUST* be encrypted using the public key of the protected resource. Encrypted refresh tokens *MUST* be encrypted using the authorization server's public key.

### iGov-NL : Additional content

How to select or obtain the key to be used for encryption of an access token is out of scope of this profile. An early draft of "Resource Indicators for OAuth 2.0" exist and COULD be used. This draft describes usage of the resource parameter to indicate the applicable resource server.

In case the Authorization Server and Resource Server are not operated under responsibility of the same organization, the bearer token *MUST* be signed with the use of a PKIoverheid certificates with OIN.

If the bearer token is also encrypted the bearer token *MUST* be encrypted with the use of a PKIoverheid certificates with OIN.

## § 3.2.2 Introspection

Token introspection allows a protected resource to query the authorization server for metadata about a token. The protected resource makes a request like the following to the token introspection endpoint:

### **EXAMPLE 10**

POST /introspect HTTP/1.1 User-Agent: Faraday v0.9.0 Content-Type: application/x-www-form-urlencoded Accept-Encoding: gzip;q=1.0,deflate;q=0.6,identity;q=0.3 Accept: \*/\* Connection: close Host: as-va.example.com Content-Length: 1412 client assertion=eyJ0eXAi0iJKV1QiLCJhbGci0iJSUzI1NiJ9.eyJpc3M iOiJhMmMzNjkxOS0wMWZmLTQ4MTAtYTgyOS00MDBmYWQzNTczNTEiLCJzdWIi OiJhMmMzNjkxOS0wMWZmLTQ4MTAtYTqyOS00MDBmYWQzNTczNTEiLCJhdWQiO iJodHRwczovL2FzLXZhLmV4YW1wbGUuY29tL3Rva2VuIiwiaWF0IjoxNDE4Nj k40DE0LCJleHAi0jE0MTg20Tg4NzQsImp0aSI6IjE0MTg20Tg4MTQvZmNmNDQ 20GY2MDVjNjE1Njli0WYyNGY50DJlMTZhZWY20TU4In0.md7mFdNBaGhiJfE pFkAAWA5S-JBvDw9Dk7p00JEWcL08JGgDFoi9UDbg3sHeA5DrrCYGC zw7fCG c9ovpfMB7W6YN53hGU19LtzzFN3tv9FNRq4KIzhK15pns9jckKtui3HZ25L B -BnxHe7xNo3kA1M-p51uYYIM0hw1SRi2pfwBKG508WntybLjuJ0R3X97zvqHn 2Q7xdVyKlInyNPA8gIZK0HVssXxH0I6yRrAgvdMn sneDTWPrgVpaR c7rt8D dd7drf nTD1QxESVhYqKTax5Qfd-aq8gZz8gJCzS0yyfQh6DmdhmwgrSCCRC6 BUQkeFNvjMVEYHQ9fr0NA &client assertion type=urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-&client id=a2c36919-01ff-4810-a829-400fad357351 &token=eyJhbGci0iJSUzI1NiJ9.eyJleHAi0jE0MTg3MDI0MTQsImF1ZCI6W yJlNzFmYjcyYS05NzRmLTQwMDEtYmNiNy1lNjdjMmJjMDAzN2YiXSwiaXNzIj oiaHR0cHM6XC9cL2FzLXZhLmV4YW1wbGUuY29tXC8iLCJqdGki0iIyMWIxNTk 2ZCO4NWQzLTQzN2MtYWQ4My1iM2YyY2UyNDcyNDQiLCJpYXQi0jE0MTg20Tg4 MTR9.FXDtEzDLbTHzFNroW7w27RLk5m0wprFfFH7h4bdFw5fR3pwiqejKmdfA bJvN3 yfAokBv06we5RARJUbdjmFFfRRW23cMbpGQCIk7Nq4L012X 1J4Iew0 QXXMLTyWQQ\_BcBMjcW3MtPrY1AoOcfB0JPx1k2jwRkYtyVTLWlff6S5gK-ciY f3b0bAdjoQEHd IvssIPH3xuBJkmtkrTlfWR0Q0pdpeyVePkMSI28XZvDaGnx

The client assertion parameter is structured as described in <u>Section 2.3.3</u>.

F2vFdL6D lLeHRy-H2g20zF59eMIsM Ccs4G47862w

The server responds to an introspection request with a JSON object representing the token containing the following fields as defined in the token introspection specification:

A4j7QI5loZYeyzGR9h70xQLVzqwwl1P0-F 0JaDFMJF01yl4IexfpoZZsB3Hh

#### active

Boolean value indicating whether or not this token is currently active at this authorization server. Tokens that have been revoked, have expired, or were not issued by this authorization server are considered non-active.

#### scope

Space-separated list of OAuth 2.0 scope values represented as a single string.

#### exp

Timestamp of when this token expires (integer number of seconds since from 1970-01-01T00:00:00Z UTC)

#### sub

An opaque string that uniquely identifies the user who authorized this token at this authorization server (if applicable). This string *MAY* be diversified per client.

#### client id

An opaque string that uniquely identifies the OAuth 2.0 client that requested this token

## **EXAMPLE 11**

The following example is a response from the introspection endpoint:

```
HTTP/1.1 200 OK
Date: Tue, 16 Dec 2014 03:00:14 GMT
Access-Control-Allow-Origin: *
Content-Type: application/json; charset=ISO-8859-1
Content-Language: en-US
Content-Length: 266
Connection: close

{
    "active": true,
    "scope": "file search visa",
    "exp": 1418702414,
    "sub": "{sub\u003d6WZQPpnQxV, iss\u003dhttps://idp-p.example.com/}"
    "client_id": "e71fb72a-974f-4001-bcb7-e67c2bc0037f",
    "token_type": "Bearer"
}
```

The authorization server *MUST* require authentication for both the revocation and introspection endpoints as described in <u>Section 2.3.2</u>. Protected resources calling the introspection endpoint *MUST* use credentials distinct from any other OAuth client registered at the server.

A protected resource *MAY* cache the response from the introspection endpoint for a period of time no greater than half the lifetime of the token. A protected resource *MUST NOT* accept a token that is not active according to the response from the introspection endpoint.

# § 3.3 Response to Authorization Requests

The following data will be sent as an Authorization Response to the Authorization Code Flow as described above. The authentication response is sent via HTTP redirect to the redirect URI specified in the request.

The following fields *MUST* be included in the response:

#### state

*REQUIRED*. The value of the state parameter passed in in the authentication request. This value *MUST* match exactly.

#### code

*REQUIRED*. The authorization code, a random string issued by the IdP to be used in the request to the token endpoint.

PKCE parameters *MUST* be associated with the "code" as per Section 4.4 of [Proof Key for Code Exchange by OAuth Public Clients (PKCE)] [*rfc7636*]

## **EXAMPLE 12**

The following is an example response:

https://client.example.org/cb?state=2ca3359dfbfd0&code=g0IFJ1hV6Rb1sxUd

## § 3.4 Token Lifetimes

This profile provides *RECOMMENDED* lifetimes for different types of tokens issued to different types of clients. Specific applications *MAY* issue tokens with different lifetimes. Any active token *MAY* be revoked at any time.

For clients using the authorization code grant type, access tokens *SHOULD* have a valid lifetime no greater than one hour, and refresh tokens (if issued) *SHOULD* have a valid lifetime no greater than twenty-four hours.

For public clients access tokens *SHOULD* have a valid lifetime no greater than fifteen minutes.

For clients using the client credentials grant type, access tokens *SHOULD* have a valid lifetime no greater than six hours.

# § 3.5 Scopes

Scopes define individual pieces of authority that can be requested by clients, granted by resource owners, and enforced by protected resources. Specific scope values will be highly dependent on the specific types of resources being protected in a given interface. OpenID Connect, for example, defines scope values to enable access to different attributes of user profiles.

Authorization servers *SHOULD* define and document default scope values that will be used if an authorization request does not specify a requested set of scopes.

To facilitate general use across a wide variety of protected resources, authorization servers *SHOULD* allow for the use of arbitrary scope values at runtime, such as allowing clients or protected resources to use arbitrary scope strings upon registration. Authorization servers *MAY* restrict certain scopes from use by dynamically registered systems or public clients.

## § 3.5.1 Claims for Authorization Outside of Delegation Scenarios

## iGov-NL: Additional content

If there is a need to include resource owner memberships in roles and groups that are relevant to the resource being accessed, entitlements assigned to the resource owner for the targeted resource that the authorization server knows about. The authorization server *SHOULD* include such attributes as claims in a JWT access token as defined in section 2.2.3.1 of [*rfc*9068].

In cases where the default scopes provided by Authorization Server are not descriptive enough, one can make use of the authorization\_details claim (see <u>5.3 Rich Authorization</u> Requests) which provide extra details and thus greater flexibility for the Resource Server to handle the request.

## § 4. Protected Resource Profile

# § 4.1 Protecting Resources

Protected Resources grant access to clients if they present a valid access\_token with the appropriate scope. Resource servers trust the authorization server to authenticate the end user and client appropriately for the importance, risk, and value level of the protected resource scope.

Protected resources that require a higher end-user authentication trust level to access certain resources *MUST* associate those resources with a unique scope.

Clients wishing access to these higher level resources *MUST* include the higher level scope in their authorization request to the authorization server.

Authorization servers *MUST* authenticate the end-user with the appropriate trust level before providing an authorization code or associated access token to the client.

Authorization servers *MUST* only grant access to higher level scope resources to clients that have permission to request these scope levels. Client authorization requests containing scopes that are outside their permission *MUST* be rejected.

Authorization servers *MAY* set the expiry time (exp) of access\_tokens associated with higher level resources to be shorter than access\_tokens for less sensitive resources.

Authorization servers *MAY* allow a refresh\_token issued at a higher level to be used to obtain an access\_token for a lower level resource scope with an extended expiry time. The client *MUST* request both the higher level scope and lower level scope in the original authorization request. This allows clients to continue accessing lower level resources after the higher level resource access has expired -- without requiring an additional user authentication/authorization.

## EXAMPLE 13

A resource server has resources classified as "public" and "sensitive". "Sensitive" resources require the user to perform a two-factor authentication, and those access grants are short-lived: 15 minutes. For a client to obtain access to both "public" and "sensitive" resources, it makes an authorization request to the authorization server with scope=public+sensitive. The authorization server authenticates the end-user as required to meet the required trust level (twofactor authentication or some approved equivalent) and issues an access token for the "public" and "sensitive" scopes with an expiry time of 15mins, and a refresh token for the "public" scope with an expiry time of 24 hrs. The client can access both "public" and "sensitive" resources for 15mins with the access\_token. When the access\_token expires, the client will NOT be able to access "public" or "sensitive" resources any longer as the access\_token has expired, and must obtain a new access\_token. The client makes a access grant request (as described in [OAuth 2.0] [rfc6749] section 6) with the refresh\_token, and the reduced scope of just "public". The token endpoint validates the refresh\_token, and issues a new access\_token for just the "public" scopewith an expiry time set to 24hrs. An access grant request for a new access\_token with the "sensitive" scope would be rejected, and require the client to get the end-user to re-authenticate/authorize the "sensitive" scope request.

In this manner, protected resources and authorization servers work together to meet risk tolerance levels for sensitive resources and end-user authentication.

```
EXAMPLE 14
Request:
GET /resource HTTP/1.1
Authorization: Bearer 4f626847-91b1-3417-a91e-c5627f377ae1
Accept: text/plain, application/json, application/*+json, */*
Host: resource.com
Connection: Keep-Alive
User-Agent: Apache-HttpClient/4.2.3 (java 1.5)
Response:
  HTTP/1.1 200 0K
   Content-Type: application/json
    "sub": "248289761001",
    "name": "Jane Doe",
    "given name": "Jane",
    "family name": "Doe",
    "preferred username": "j.doe",
    "email": "janedoe@example.com",
    "picture": "http://example.com/janedoe/me.jpg"
   }
```

## § 4.2 Connections with Clients

A protected resource *MUST* accept bearer tokens passed in the authorization header as described in [rfc6750] . A protected resource MAY also accept bearer tokens passed in the form parameter  $\frac{\partial F}{\partial t}$ query parameter methods.

A Protected Resource under this profile *MUST NOT* accept access tokens passed using the query parameter method.

A Protected Resource under this profile *SHOULD* verify if the client is the Authorized party (azp) when client authentication is used. See section <u>Advanced OAuth Security Options</u> as well.

Protected resources *MUST* define and document which scopes are required for access to the resource.

## § 4.3 Connections with Authorization Servers

Protected resources *MUST* interpret access tokens using either JWT, token introspection, or a combination of the two.

The protected resource *MUST* check the aud (audience) claim, if it exists in the token, to ensure that it includes the protected resource's identifier. The protected resource *MUST* ensure that the rights associated with the token are sufficient to grant access to the resource. For example, this can be accomplished by querying the scopes and acr associated with the token from the authorization server's token introspection endpoint.

### iGov-NL: Additional content

In case these (optional) attributes are already provided within the token, no introspection is needed. For further details we encourage to read the *OpenID NLGov* specification.

A protected resource *MUST* limit which authorization servers it will accept valid tokens from. A resource server *MAY* accomplish this using a whitelist of trusted servers, a dynamic policy engine, or other means.

# § 5. Advanced OAuth Security Options

The preceding portions of this OAuth profile provide a level of security adequate for a wide range of use cases, while still maintaining relative ease of implementation and usability for developers, system administrators, and end users. The following are some additional security measures that can be employed for use cases where elevated risks justify the use of additional controls at the expense

of implementation effort and usability. This section also addresses future security capabilities, currently in the early draft stages, being added to the OAuth standard suite.

# § 5.1 Pushed Authorization Requests (PAR)

### iGov-NL: Additional content

Traditionally, OAuth 2.0 authorization requests are sent via front-channel communication (e.g., browser redirects), which exposes sensitive parameters to potential tampering or interception. PAR [*rfc9126*] addresses these vulnerabilities by allowing clients to push authorization requests directly to the authorization server over a secure back-channel. *FAPI 2.0 Security Profile* also includes this feature as of version 2.0 . Below are some of the issues it alleviates:

- Lack of Integrity and Authenticity: Authorization request parameters sent as URI query parameters are vulnerable to tampering. Attackers can modify values like scope or redirect\_uri, potentially altering the context of transactions or access permissions. Such sensitive data in front-channel requests can be intercepted or phished, compromising client credentials or authorization codes. Attackers can exploit the request\_uri parameter by injecting malicious URIs, leading to unauthorized access or token leakage.
- Lack of Confidentiality: Although HTTPS protects the authorization endpoint, request parameters pass through the user agent in the clear, risking exposure via browser logs, referrer headers, or other leaks. This is particularly problematic for sensitive data like personally identifiable information (PII).
- **Size Limitations:** Large authorization requests with fine-grained permissions can exceed URL size limits, causing processing errors.
- **Delayed Client Authentication:** Traditional flows delay client authentication until after user interaction, making it harder to detect and reject illegitimate requests early.

To combat this PAR allows clients to push authorization requests directly to the authorization server over a secure back-channel (HTTPS), effectively preventing tampering. For higher security, PAR can be combined with JWT-based Request Objects for cryptographic signing and optional encryption. Furthermore, by moving sensitive data from the front-channel (user agent) to the back-channel, PAR ensures that request parameters are not exposed to the browser or third parties, mitigating leakage risks. Also, PAR enables the authorization server to authenticate the client before any user interaction, allowing early detection and rejection of illegitimate requests, such as spoofing or tampering attempts.

OAuth proof of possession tokens are currently defined in a set of drafts under active development in the Internet Engineering Task Force (IETF) OAuth Working Group.

While a bearer token can be used by anyone in possession of the token, a proof of possession token is bound to a particular symmetric or asymmetric key issued to, or already possessed by, the client. The association of the key to the token is also communicated to the protected resource.

a variety of mechanisms for doing this are outlined in the draft [OAuth 2.0 Proof-of-Possession (PoP) Security Architecture] [I-D.ietf-oauth-pop-architecture] .

When the client presents the token to the protected resource, it is also required to demonstrate possession of the corresponding key (e.g., by creating a cryptographic hash or signature of the request).

# iGov-NL: Additional content

<u>OAuth 2.0 Demonstrating Proof of Possession (DPoP)</u> is an extension that describes a technique to cryptographically bind access tokens to a particular client when they are issued.

Proof of Possession tokens are somewhat analogous to the Security Assertion Markup Language's (SAML's) Holder-of-Key mechanism for binding assertions to user identities.

Proof of possession could prevent a number of attacks on OAuth that entail the interception of access tokens by unauthorized parties. The attacker would need to obtain the legitimate client's cryptographic key along with the access token to gain access to protected resources.

Additionally, portions of the HTTP request could be protected by the same signature used in presentation of the token.

Proof of possession tokens may not provide all of the same protections as PKI authentication, but they are far less challenging to implement on a distributed scale.

## iGov-NL: Additional content

Another implementation of PoP is using TLS with mutual authentication, where the client is using a PKI authentication. The authorized party (azp) can then be verified with the client certificate to match the authorized party. As an alternative, the authorization server can include a cnf parameter in the JWT by the authorization server, see [rfc7800]. The key referenced in cnf can be validated using a form of client authentication, e.g. using an private\_key\_jwt or tls\_client\_auth[rfc8705].

### iGov-NL: Additional content

More detailed information about securely implementing PoP are described in <u>FAPI 2.0 Security</u> <u>Profile</u>.

OAuth 2.0 Rich Authorization Requests is an extension that provides a way for clients to request and obtain fine-grained authorization from resource owners such as end users during the Authorization Code Flow. In traditional OAuth flows, clients typically request access to a set of scopes from a Resource Server. The Resource Owner then grants access to the resources to the client. However, this approach allows limited granular control over the access granted to a client and can lead to over-provisioning of access, which poses various security risks. With RAR, clients can pass an authorization\_details claim with additional details that allow for more fine-grained authorization. This also allows for the Resource Server to implement fine-grained authorization for specific requests. Think of one-time payment approvals, document signing or requesting or approving (access to a) specific cases or documents.

According to the RFC, authorization\_details requires just one field, type, which determines the allowable contents of the authorization\_details. The value is unique for the described API in the context of the Authorization Server. The underlying RFC defines a set of common data fields that are designed to be usable across different types of APIs. Fields like locations, datatypes, identifier and privileges can be added in the authorization\_details parameter.

As mentioned in [RFC9396]:

In case of authorization requests as defined in [*RFC6749*], implementers *MAY* consider using pushed authorization requests [*RFC9126*] to improve the security, privacy, and reliability of the flow.

In case more data, or more recent data, is required for fine-grained authorization then one *MAY* include the OpenID AuthZEN standard in the authorization details.

# § 6. Security Considerations

All transactions *MUST* be protected in transit by TLS as described in [*BCP195*].

In addition to the Best Current Practice for TLS, it is highly *RECOMMENDED* for all conforming implementations to incorporate the TLS guidelines from the Dutch NCSC into their implementations. If these guidelines are applied:

- For back-channel communication, the guidelines categorized as "good" *MUST* be applied.
- For front-channel communication, the guidelines for "good" *MUST* be applied and the guidelines for "sufficient" *MAY* be applied, depending on target audience and support requirements.
- Guidelines categorized as "insufficient" *MUST NOT* be applied and those categorized as "phase out" *SHOULD NOT* be used.

Authorization Servers *SHOULD* take into account device postures when dealing with native apps if possible. Device postures include characteristics such as a user's lock screen setting, or if the app has 'root access' (meaning the device OS may be compromised to gain additional privilages not intended by the vendor), or if there is a device attestation for the app for its validity. Specific policies or capabilities are outside the scope of this specification.

All clients MUST conform to applicable recommendations found in the Security Considerations sections of [rfc6749] and those found in the [OAuth 2.0 Threat Model and Security Considerations document] [rfc6819].

## § A. References

## **A.1 Normative references**

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